Biomechanics and pathomechanisms of osteoarthritis

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Summary

Today, the most frequent chronic musculoskeletal disorder and the leading cause of disability in the elderly is osteoarthritis (OA). Approximately 43 million people in the United States and 15% of the world population are affected. Due to demographic changes, the incidence of OA is rapidly increasing, leading to an ascending socioeconomic and personal burden. Despite the exact cause of OA remains unknown, the pathogenic role of biomechanical dysfunction in OA is well established. For weight-bearing joints altered loading mechanisms, increased mechanical forces and changed biomechanics are significant contributing factors for initiation and progression of OA. Thus, OA is a disease of the whole joint, including muscles, tendons, ligaments, synovium and bone. This review focuses on the influence of biomechanics on the pathogenesis and progression of OA. We notably illustrate the pathological bioreactivity of soft tissues, subchondral bone and joint inflammation. Procedures, conservative or surgical, which actively alter the biomechanics of the lower limb, are promising strategies to treat symptoms as well as to influence disease progression in OA.

Key words: osteoarthritis; OA; biomechanics; malalignment; joint loading; synovitis

Introduction

Osteoarthritis (OA) is one of the most common causes of disability in the world. Epidemiological studies estimate around 43 million affected patients in the United States alone and about 15% of the world population [1, 2]. The incidence is estimated to 100,000 new cases per year [3]. The risk of mobility impairments caused by knee OA alone is greater than due to any other medical condition in people over 65 [4]. It leads to social, psychological and economical burdens in patients with substantial financial consequences [5]. In France the cumulated health costs resulting from OA almost doubled within 10 years (from 1993–2003) [1]. A further increase has to be expected due to the increasing prevalence of obesity and ascending life expectancy of our population [6].

The role of biomechanics in the development and progression of OA, especially of the lower limb has become integral in current knowledge of this disease.

In this review we focus on the influence of different biomechanical factors on the pathogenesis and progression of OA, underlining the pathological bioreactivity of soft tissues, subchondral bone and subsequent joint inflammation. We discuss current conservative and surgical intervention strategies aimed to reduce biomechanical loads and thereby preventing or slowing down the vicious cycle of OA. To date our knowledge is still limited concerning pathobiomechanical loads and its impact on joint homeostasis leading to destructive OA. We concentrate our perspective on the lower extremity; as the hip, knee and ankle are the joints which are highly weight bearing and the most commonly affected sites by OA [3].

Pathobiomechanics of osteoarthritis

OA is regarded as a whole joint disease with a multifactorial etiology, including increased mechanical stress, ligament derangements, cartilage degradation, subchondral bone changes and muscular impairments (fig. 1). Furthermore, secondary synovial inflammation plays a role in OA, notably in the early stage [7]. Several studies pointed out the importance of mechanical factors in the destructive cascade of this disease [8–10]. Epidemiological studies of the last decades tried to define risk factors such as age, genetic predisposition, obesity, joint congruency, increased mechanical stress and greater bone density [11]. OA may evolve as a consequence after an antecedent incidence, such as intraarticular fractures and ligament lesions, systemic diseases like rheumatoid arthritis, hemochromatosis, haemophilia, post infectious arthritis or osteochondrosis dissecans, or as a result of a congenital or developmental anatomic abnormality [10].

OA occurs when the dynamic steady state between destructive forces and repair mechanisms destabilises the joint homeostasis [12, 13]. This imbalance is thought to be the driving force in this progressive disease and may produce pain and disability, although many patients with obvious radiographic findings don’t complain of any symptoms related to OA [14]. OA is most common in weight bearing
joints such as the hips, knees and the ankle but it can occur in any synovial joint of the body.

Bone and cartilage

OA of the hips and knees are mostly the result of a slow and degenerative process [15], whereas clinical treatments studies of ankle OA showed a close coherence with a precedent trauma and are therefore classified as post-traumatic osteoarthritis [10, 16, 17]. This phenomenon is thought to be caused by the different and unique anatomical and biomechanical tissue characteristics of the hip, knee and ankle. Anatomically the contact areas are larger in the hip and the knee compared to the ankle (at 500-N load: ankle, 350 mm²; hip, 1100 mm²; knee, 1,120 mm²) [18–20]. Therefore, the ankle is exposed to higher loading pressures per mm². Interestingly, the histological images of ankle cartilage show a higher proteoglycan density, lower matrix degradation and higher compressive stiffness [21]. Biomechanically the knee joint bears higher shear forces than the hip or ankle joint as it incorporates sliding, rotating and rolling motions during movements [21]. These tissue properties combined with the different kinematics may be an explanation why the ankle joint is more resistant to degenerative OA than the hip or knee joint.

The role of bone density in OA is currently debated. Typically, a reduced bone density of the subchondral bone is observed in the early stages of OA [22]. However, at a later stage, subchondral bone sclerosis and higher bone density are seen radiologically. Subchondral osteosclerosis assessed by bone-densitometry (BMD) showed that subchondral BMD indeed might predict cartilage defect development [23]. Functional computed tomography like CT-osseous absorptiometry (CT-OAM) also enabled visualization of the load-dependent reaction of subchondral bone mineralisation density in advanced OA [24]. The newly organised subchondral bone also contains new vessels and nerve fibers, which are likely involved in OA pathogenesis and pain sensation, respectively [25]. Cartilage and bone receive and dissipate contact loads associated with movements and weight bearing, and are therefore continuously challenged biomechanically. Recent data support the view that cartilage and bone do communicate over the calcified tissue barrier through molecular crosstalk. This interaction is crucial for the subchondral bone–articular cartilage unit and seems to show specific changes in the development of OA [25–27]. Currently it is not clear whether subchondral bone changes occur as cause or consequence of cartilage damage.

Figure 1
Schematic illustration of OA as a “whole joint disease” with structures involved in OA pathology. Muscle atrophy can cause OA, but is also seen as a consequence of OA, e.g., pain-induced. Synovitis with secretion of pro-inflammatory cytokines into the joint space correlates with pain and radiological progression. Cartilage degradation is the hallmark of OA. Subchondral bone pathology is also observed in OA, ultimately leading to osteosclerosis.

Figure 2
Long leg film with right side genu valgum and advanced ankle arthritis. The white arrows indicate the abduction moments on each joint resulting from the deviated mechanical axis.
Pathobiomechanics: the fracture

Although it is virtually impossible to establish a specific time of disease onset in degenerative OA, in secondary OA patients recall a precedent injury taking on responsibility for disease initiation. For example, an intraarticular fracture implicates an incongruence of the joint line followed by axis deviation and altered load distribution across the joint. Recurrent ankle sprains or ankle fractures are very often consistent with osteochondral lesions (OCL). During arthroscopy, Taga and colleagues found chondral lesions of 89% in 9 patients with acute ankle injuries and 95% in 22 patients with chronic ankle injuries. [28]. Hinternann et al. reported in their investigation on 148 patients that 66% of those with lateral ankle instability had cartilage lesions after one or more ankle sprains, as did 98% of those with instability on the medial side [29]. Following recurrent unphysiological loading of an unstable joint, the shearing forces cause chondrocyte deformation up to irreversible damage and lead to chondrocyte apoptosis [30]. They affect the biomechanical protection (gliding and shock absorption) of the joint and the contact pressures around the OCL rise combined with pain and a local inflammatory reaction [9, 31]. These stimuli let the lesion size grow, and the initial small and local damage turns into a joint-wide OA.

Pathobiomechanics: ligament Instability and muscle weakness

Besides the initial cartilage damage, i.e. through injury, the contribution of ligaments, muscles and nerves to the mechanical environment have become central to pathobiomechanical investigation. Chronic ligament laxity is frequently observed in ankle OA [17]. It has been postulated that instability of the talus leads to a pathologic range of translation and rotation, which increase the shear forces on the cartilage surface. Furthermore chronic ligament instability, on the medial or lateral side of the ankle, enhances the progressive varus or valgus malalignment of the hindfoot, which worsens the pressure distribution and accelerates the progression of OA.

Other contributing factors are muscle weakness and somatosensory deficits which are consistently accompanied by OA [32]. Muscle weakness is one of the first and most frequent symptoms in OA [33]. As observed in animal models and humans, the loss of the anterior cruciate ligament (ACL) is associated with muscle atrophy, changes in somatosensory activation patterns (i.e., force generation and motor unit recruitment) and gait kinematics [32]. However, while muscle weakness and atrophy accompanies OA it is still not clear whether it is caused by OA or precedes it. The observational work of Slemenda et al. link muscle weakness to narrowed joint space, increased knee pain and elevated development of OA in elderly women [34]. Furthermore, decreased isokinetic quadriceps muscle strength in women have been found to be an indication of increased lower limb loading during gait cycle [35]. Shown in an animal model, there is evidence that prolonged and repetitive rapid and heavy loading of joints result in deformation of articular cartilage and chondrocytes and might promote the initiation and progression of OA [30]. In patients with endstage OA of the ankle, Valderrabano et al. showed significant loss of muscle strength in dorsiflexion and planter flexion of the ankle (–35.1% and –36.2% respectively), measured with surface electromyography compared to age and gender matched normal subjects [36]. Muscle overload on the other hand has never been shown to cause OA in humans. Few data exist, obtained from an animal model, indicating that excessive eccentric muscle contractions exceeding a certain threshold lead to increased chondrocyte death [37].

These observational data from humans support the evidence from animal models that muscle weakness may be an independent risk factor for OA and might be the link between other risk factors such as age, obesity, sex or joint injury.

Pathobiomechanics: inflammation in OA

Joint inflammation is a well recognised feature of OA, notably in the early stage [7]. Inflammation in OA can be triggered by malalignment, overuse, trauma, crystal formation, trauma or idiopathy. Clinically, synovial inflammation is demonstrated by joint effusion and pain, often well responding to steroid infiltration. Arthroscopy studies revealed synovitis in approximately 50% of the cases [38]. MRI currently is the best diagnostic modality to assess synovitis and correlates with pain and radiological progression of OA [39]. Pathobiologically, synovitis leads to the secretion of proinflammatory cytokines such as tumor necrosis factor (TNF)–alpha, interleukin (IL)-1 or 6. This impaired cytokine balance in the synovial fluid leads to the induction of proteinases such as metalloproteinases or aggrecanase with subsequent cartilage degradation and an inflammatory reaction once the fluid has contact with the subchondral bone, e.g., by subchondral cyst formation. The current consensus based on in-vitro mechanical loadings experiments is that injurious compression leads to proteoglycan depletion, destruction of the collagen network and cartilage degradation [40]. In response, proinflammatory products are released and are postulated to activate the synovium and to cause synovitis [7, 41]. Apart from synovitis also the in-

Figure 3

Cytokine expression in OA and movement. Synovitis related to OA leads to the secretion of proinflammatory cytokines such as IL-1,6 or TNF-alpha. Under normal circumstances, joint movement induces IL-10, a potent anti-inflammatory cytokine.
triaricular fat body secretes profibrotic cytokines such as IL-6 [42]. Interestingly, movement of a joint induces the expression of IL-10, which is a potent anti-inflammatory cytokine [43] (fig. 3). This indicates that not only the induction of inflammation, but also the lack of resolution of inflammation might be of importance in OA. Possibly an impaired biomechanical process of a joint will lack this anti-inflammatory reaction in form of IL-10 expression.

The role of mechanics and the kinematic chain

The concept of biomechanics includes the assembly of the structural reaction of joint tissues to mechanical stimuli. This interplay has gained much attention in the current understanding of pathogenesis of OA [9, 12]. A joint represents the connective unit between two bones or functional segments. Joints connected serially act as a kinematic chain. This construct allows motion and simultaneously provides stability, congruency and shock absorption. Alignments, adduction moments and muscle balancing are the key determinants for optimal load reduction and distribution as well as to guarantee painless gliding: hence unphysiological loading patterns on one joint may influence the adjacent levels as well.

All joints are exposed to biomechanical loading but most scientific data result from the lower extremity, especially from the knee joint, because it is the most commonly affected joint by OA and it has been extensively examined by joint kinematic testing, gait analysis and other investigations [44–46].

Adequate mechanical loading provides the essential stimulus to maintain physiological joint homeostasis, whereas excessive mechanical stress as well as unloading the joint is crucial for the disease onset and progression [31, 47]. Over the last two decades it has been shown that altered joint biomechanics of the knee, such as loss of cruciate ligaments, removal of menisci, posttraumatic cartilage damage, changes in bone alignments, unloading through casting and overloading through intense exercise may cause disease initiation and progression of cartilage degradation [8, 9, 12].

Mechanical axis

The mechanical axis or alignment of the lower extremity is defined as a line drawn from the centre of the femoral head to the centre of the talus. The hip-knee-ankle alignment is best assessed with long leg films (fig. 2). This line passes close to the centre of the tibial head between the eminentia tibiae, approximately 1° in varus (neutral alignment 0–2°) [48]. Therefore, the medial compartment of the knee sustains 60–70% of the load [46, 48]. This physiologically imbalanced dispensation may be a predisposing factor to medially accentuated tibio-femoral OA. A varus-aligned knee is described as “bow-legged” and a valgus-aligned knee is described as “knocked-knee”. This valgus or varus alignment has been described to influence the load distribution across the articular joint surface [49]. This asymmetry reduces the area of load bearing and amplifies the resultant load to the remaining joint surface. The deviation of the mechanical axis does strongly correlate with radiograph-
stance phases, so their total time weight bearing is longer than normal [51, 56]. Up to now the identification of distinct prognostic factors for progression of OA of the lower limb has been difficult with the strongest evidence being for static malalignment [49]. However, even though AdM has been shown to predict medial knee OA, a recent study by the same group showed that reducing the AdM by conservative means even after one year, did not provide any structural or symptomatic benefits compared to a untreated control group [57]. These findings arouse the suspicion that AdM is an important determinant but not the only one in the multifactorial disease of OA.

As mentioned before, the contribution of muscles in load absorption and distribution throughout the kinematic chain of the lower limb play an integral role. Various analyses of gait have found that the quadriceps, hamstrings and the gastrocnemius muscles are able to produce an internal valgus moment and provide stabilisation against external adduction moments [53, 58]. In patients with medial OA and an varus alignment these muscle show altered activity level and is probably an attempt to unload the medial knee compartment [53]. This underlines the complexity to calculate the total loads running across a joint and the resultant effect on the articular surfaces.

### Osteoarthritis treatment options

To date a medical cure for OA, a “restitutio ad integrum”, does not exist, nor do disease modifying OA drugs (DMOADs) comparable to disease modifying anti-rheum-
ural biomechanics of the joint. If joint preserving procedures, joint replacement or joint fusions (arthrodesis) is the optimal treatment needs to be decided on a individual base [59].

Conservative treatment strategies
Conservative treatment strategies include footwear interventions, braces, gait modifications, muscle strengthening and weight loss. Barefoot walking has been shown to reduce the knee adduction moment by 7–13% compared to normal shoes and up to 23% compared to high-heeled shoes in healthy women [60, 61]. The use of lateral wedge insoles in patients with OA led to an immediate reduction of pain during walking and a reduction of the knee adduction moment by 4–14% [57]. However, this study did not show any influence on disease progression over a 12-month period.

Valgus knee braces and gait modifications with a toe-out gait have been shown to reduce knee adduction moment significantly [62, 63]. Despite the fact that such strategies are simple, they need consequent adherence by the patient to be effective.

Obesity by itself enhances not only the load to the joints of the lower limb during stance, but it also magnifies the effects of malalignment during walking [56, 64]. Diet and weight loss are important therapeutic measures, because they result in a direct reduction of load exerted on the knee during locomotion [65].

Studies on specific muscle training in humans, such as strengthening of the quadriceps and the hip abductors muscles showed a significant decrease of the knee adduction moment, less cartilage loss of the patello-femoral joint and a reduction of pain, but have failed to show any protective effects for malaligned or lax knees [34, 66, 67].

Neuromuscular training aims to improve sensomotor control and functional stability. The long-term results of a prospective cohort study of 100 ACL deficient patients who were treated using supervised neuromuscular rehabilitation showed remarkably low incidence of OA after 15 years [68]. These results underline the current understanding that strong muscles and neuromuscular control is needed to absorb altered knee loads seen in patients with these defects.

Joint preserving surgery
In many cases of mechanically induced OA of the lower limb, cartilage defects are regionally limited with partially preserved areas.

The symptomatic stage in knee and ankle OA typically occurs when patients are in their economically important active middle ages, because trauma is the predominant cause of their OA [20, 28]. Controversy on the recommended therapy is related to increasing life expectancy and high activity demands of these patients [59, 69]. Joint sparing osteotomies are generally used in selected patients, for example for unicompartmental knee OA and varus or valgus ankle OA, to unload the affected joint area as well as for hip OA to improve joint congruency and coverage [70, 71].

Young, active patients may benefit from joint preservation surgery to postpone arthroplasty.

The efficacy of realignment surgery to restore almost normo-biomechanics has been shown to relieve pain and improve function [71]. The orthopaedic procedure includes correction osteotomy, with either open or closing wedge, on the proximal tibia for the knee and on the distal tibia for the ankle, followed by an arthroscopy for cartilage and meniscal surgery, if needed (fig. 5). The shifted and normalised mechanical axis allows addressing the osteochondral lesions with orthobiologies and visscosupplementation [71].

There are a variety of procedures available for the management of OCL’s: the surgeon may treat the articular cartilage, i.e., autologous chondrocytes implantations (ACI), the bone with microfracturing, or both with transplantation of osteochondral allografts (OATS) or mosaicplasty [72, 73]. Lately collaborations with tissue engineering broad the horizons of orthopaedic researchers with synthetic scaffolds like autologous osteochondral plugs [74].

Using CT-OAM Mueller-Gerbl et al. were able to show remodelling of the subchondral bone density after realignment surgery of the proximal tibia [24]. These data indicate that realignment surgery is a powerful tool to realign biomechanical loading forces across the joint with subsequent bone reaction (fig. 6).

Total joint replacement
In cases of advanced OA with totally destroyed articular surfaces, these highly specialised procedures are not applicable. Here total joint replacements (TJR) are the treatment of choice. The use of high quality materials, anatomical modular systems and minimal invasive surgical procedures allow patient-tailored solutions, efficient and quick rehabilitation programmes and long durability of materials [51, 75, 76].

Total joint replacements of the knee and the ankle may preserve the neighbouring joints from mechanical overload and wear, but correction of deformity and adjusting of ligament balance are limited [59, 70]. Infections, failure of prosthesis and loss of bone stock may challenge the treating surgeon with revision arthroplasty and imminent fusion. Joint fusion especially in the ankle may enable a higher activity level, but degeneration rates of the neighbouring joints are elevated up to 50% after 7–8 years and 100% after 22 years [77, 78].

Compared to ankle OA these issues are less threatening in knee and hip OA because arthroplasty of these joints have better long-term outcomes and good functional results. Buechel and colleagues reported 97% and 83% survival rates after 10 and 16 years of total knee replacement [75]. Even better results are documented in hip arthroplasty with 90% survival rate after a minimum of 30 years with revision as end point [76].

Severe OA accompanied with profound deformities are very often not limited to one joint only. To restore normal biomechanics the therapy of choice is to address every level of the kinematic chain. Otherwise the destruction of the adjacent joints proceeds, resulting in further aberrant mechanical alignments and advanced wear of the replaced joint. Multi-level surgery might provide a solution in these severe cases (fig. 7). Although extensive preoperative planning and precise patient selection are crucial, because these highly invasive procedures demand a great deal of the patient and require a close guidance and adequate patient compliance.
Conclusion

OA and biomechanics are inescapably linked together. However, the contribution of biomechanical factors to aetiology, pathogenesis and to disease progression require further research in order to reduce the enormous socioeconomic and personal impact of this disease. To this end, modern treatment pathways including the collaboration of basic sciences e.g., tissue engineering and pathology, diagnostics, biomarker research, conservative treatment strategies and orthopaedic surgery are necessary to guarantee an optimal, individually adapted treatment plan with respect to joint biomechanics and biomechanical reactivity.

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Literature


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Figures (large format)

Figure 1
Schematic illustration of OA as a "whole joint disease" with structures involved in OA pathology. Muscle atrophy can cause OA, but is also seen as a consequence of OA, e.g., pain-induced. Synovitis with secretion of proinflammatory cytokines into the joint space correlates with pain and radiological progression. Cartilage degradation is the hallmark of OA. Subchondral bone pathology is also observed in OA, ultimately leading to osteosclerosis.
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Long leg film with right side genu valgum and advanced ankle arthritis. The white arrows indicate the abduction moments on each joint resulting from the deviated mechanical axis.
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Figure 4
Treatment algorithm for OA patients.

Figure 5
Patient before and after realignment surgery with an open wedge osteotomy of the proximal tibia.
Figure 6
Figure 7

Multi-level surgery: 53 year old patients with rheumatoid and psoriasis arthritis, consistent of two total hip arthroplasties, two total knee arthroplasties, realignment surgery of the right ankle, and two total ankle replacements.